

Practitioner's Docket No. AMK-AB1027-1D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Won Sun Shin

Application No.: 10/600,931

Parent Appln. Group No.: 2826

Filed: 6/20/2003

Parent Appln. Examiner: Leonardo Andujar

For: "Semiconductor Package And Method For Fabricating The Same"

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date: November 19, 2003

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Submitted herewith is an Information Disclosure Statement by Applicant that lists published references that the Applicant wishes to have considered by the Examiner in the course of examining this application.

Most of the references listed on the enclosed Information Disclosure Statement by Applicant were cited in prior application Serial No. 09/648,284, filed on 8/24/2000, upon which the present application relies for priority under 35 U.S.C. § 120. Copies of references cited in prior application Serial No. 09/648,284 are not enclosed.

Fourteen of the references listed on the enclosed Information Disclosure Statement by Applicant were not cited in prior application Serial No. 09/648,284. Copies of these fourteen references are enclosed herewith.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. It is requested that the Examiner initial the enclosed Information Disclosure Statement by Applicant and return an initialed copy to the Applicant.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits.
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114

SN:

- ☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
- B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. AMK-AB1027-1D).

Date:

11/19/03

Reg. No.: 34,691
Tel. No.: (408) 451-5906
Customer No.: 22888

Signature of Practitioner

James E. Parsons
Bever, Hoffman & Harms, LLP

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Date

11/19/03

Signature

Richard A. BAUMANN

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number		10/600,931	
				Filing Date		6/20/2003	
				First Named Inventor:		SHIN, Won Sun	
				Examiner: Unknown		GROUP: 2811	
Sheet	1	of	6	Attorney Docket Number		AMK-AB1027-1D	
U.S. PATENT DOCUMENTS							
Examiner Initials*	Cited No. ¹	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear	
	A01	4,763,188		Johnson	8/9/88		
	A02	5,025,306		Johnson et al.	6/18/91		
	A03	5,291,061		Ball	3/1/94		
	A04	5,323,060		Fogal et al.	6/21/94		
	A05	5,721,452		Fogal et al.	2/24/98		
	A06	5,739,581		Chillara et al.	4/14/98		
	A07	5,815,372		Gallas	9/29/98		
	A08	5,886,412		Fogal et al.	3/23/99		
	A09	Re. 36,613		Ball	3/14/00		
	A10	6,005,778		Spielberger et al.	12/21/99		
	A11	6,051,886		Fogal et al.	4/18/00		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cited No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear	T ⁶
	A12	JP	62-126661		6/8/87		
	A13	JP	4-56262		2/24/92		
	A14	JP	63-244654		10/12/88		
	A15	JP	5136323		6/1/93		
	A16	JP	5109975		4/30/93		
	A17	JP	61117858		6/5/86		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

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Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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	A18	6,057,598		Payne et al.	5/2/00		
	A19	5,502,289		Takiar et al.	3/26/96		
	A20	5,793,108		Nakahishi et al.	8/11/98		
	A21	5,973,403		Wark	10/26/99		
	A22	5,696,031		Wark	12/9/97		
	A23	5,495,398		Takiar et al.	2/27/96		
	A24	5,463,253		Waki et al.	10/31/95		
	A25	5,012,323		Farnworth	4/30/91		
	A26	5,689,135		Ball	11/18/97		
	A27	5,347,429		Kohno et al.	9/13/94		
	A28	6,080,264		Ball	6/27/00		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cited No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear	T ⁶
	A29	JP	4028260		1/30/92		
	A30	JP	4116859		4/17/92		
	A31	JP	4096358		3/27/92		
	A32	JP	5013665		1/22/93		
	A33	JP	1028856		1/31/89		
	A34	JP	1099248		4/18/89		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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	A35	5,422,435		Takiar et al.	6/6/95		
	A36	6,072,243		Nakanishi	6/6/00		
	A37	6,214,641		Akram	4/10/01		
	A38	5,715,147		Nagano	2/3/98		
	A39	6,133,637		Hikita et al.	10/17/00		
	A40	6,198,171		Huang et al.	3/6/01		
	A41	5,200,362		Lin et al.	4/6/93		
	A42	5,273,938		Lin et al.	12/28/93		
	A43	5,474,958		Djennas et al.	12/12/95		
	A44	5,604,376		Hamburgen et al.	2/18/97		
	A45	5,620,928		Lee et al.	4/15/97		
FOREIGN PATENT DOCUMENTS							
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	A46	JP	60182731		9/18/85		
	A47	JP	56062351		5/28/81		
	A48	JP	3169062		7/22/91		
	A49	JP	10-256470		9/25/98		
	A50	JP	1071162 A		3/16/89		
	A51	JP	5-75015 (A)		3/26/93		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

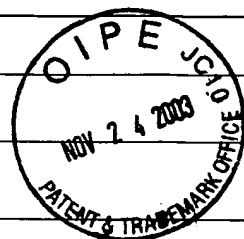
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	A52	5,894,108		Mostafazadeh et al.	4/13/99			
	A53	5,835,355		Dordi	11/10/98			
	A54	5,903,052		Chen et al.	5/11/99			
	A55	6,013,948		Akram et al.	1/11/00			
	A56	6,034,427		Lan et al.	3/7/00			
	A57	6,127,833		Wu et al.	10/3/00			
	A58	6,172,419	B1	Kinsman	1/9/01			
	A59	6,060,778		Jeong et al.	5/9/00			
	A60	5,866,949		Schueller	2/2/99			
	A61	6,107,689		Kozono	8/22/00			
	A62	6,100,804		Brady et al.	8/8/00			
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Examiner Initials*	Cited No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear	T ⁶
	A63	EP	503 201 A2			12/20/91		
	A64	JP	64001269			1/5/89		
	A65	JP	4056262			2/24/92		
	A66	JP	62126661			6/8/87		
	A67	JP	63128736			6/1/88		
	A68	JP	63244654			10/12/88		
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		Number	Kind Code ² (if known)			
	A69	5,646,828		Degani et al.	7/8/97	
	A70	6,160,705		Stearns et al.	12/12/00	
	A71	6,184,463	B1	Panchou et al.	2/6/01	
	A72	5,977,640		Bertin et al.	11/2/99	
	A73	6,001,671		Fjelstad	12/14/99	
	A74	5,436,203		Lin	7/25/95	
	A75	6,126,428		Mitchell et al.	10/3/00	
	A76	5,986,317		Wiese	11/16/99	
	A77	5,545,922		Golwalkar et al.	8/13/96	
	A78	6,118,184		Ishio et al.	9/12/00	
	A79	4,729,061		Brown	3/88	
	A80	5,796,586		Lee et al.	8/98	
	A81	6,329,709		Moden et al.	12/01	
	A82	6,541,854	B2	Huang et al.	4/1/03	
	A83	6,515,356	B1	Shin et al.	2/4/03	
	A84	6,399,418	B1	Glenn et al.	6/4/02	
	A85	5,952,611		Eng et al.	9/14/99	

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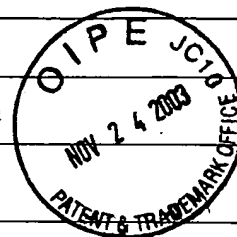
Foreign Patent Document								
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	A86	5,474,957		Urushima	12/12/95	
	A87	5,491,612		Nicewarner, Jr.	2/13/96	
	A88	5,668,405		Yamashita	9/16/97	
	A89	5,696,666		Miles et al.	12/9/97	
	A90	5,737,191		Horiuchi et al.	4/7/98	
	A91	5,763,939		Yamashita	6/9/98	
	A92	5,777,387		Yamashita et al.	7/7/98	
	A93	5,819,398		Wakefield	10/13/98	
	A94	6,395,578	B1	Shin et al.	5/28/02	
	A95	6,501,184	B1	Shin et al.	12/31/02	

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